

IAP13 Rec'd PCT/PTO 04 JAN 2007

PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission		Application Number 10/511,575
		Filing Date October 13, 2004
		First Named Inventor Wolfrm Drescher
		Art Unit (Not Yet Assigned)
		Examiner Name (Not Yet Assigned)
		Attorney Docket Number 066340.0212

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <p style="margin-left: 20px;">Certification of True Copy of Response to Notification of Missing Requirements under 35 U.S.C. 371 Filed April 8, 2005; copies of facsimile received</p>
Remarks <p style="margin-left: 20px;">from F. Young of PCT Operations; stamped, self-addressed envelope date-stamped April 11, 2005 indicating the following documents were received by the PTO: Response to Notification of Missing Requirements; Notification of Missing Requirements; Transmittal Form; Declaration, Power of Attorney, etc.</p>		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm Name	Baker Botts LLP	Customer No.	21003
Signature			
Printed name	Gary M. Butter		
Date	12/28/2006	Reg. No.	33,841

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature			
Typed or printed name	Gary M. Butter		Date 12/28/2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Drescher et al. Customer No.: 21003
Serial No.: 10/511,575 Examiner: Not Yet Assigned
Filed: October 13, 2004 Group Art Unit: Not Yet Assigned
For: METHOD AND ARRANGEMENT FOR POWER EFFICIENT CONTROL OF PROCESSORS

**CERTIFICATION OF TRUE COPY OF RESPONSE TO
NOTIFICATION OF MISSING REQUIREMENTS UNDER
35 U.S.C. 371 FILED APRIL 8, 2005**

Mail Stop PCT Legal Division
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Attention: PCT LEGAL DIVISION

CERTIFICATE OF MAILING

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to: **PCT Legal Division** Commissioner for Patents, Box 1450, Alexandria, VA 22313-1450.

December 28, 2006
Date of Deposit



Gary M. Butter
Attorney Name

33,841
Patent Reg. No.

Sir:

We are filing this paper in response to a facsimile (copy attached) received from Francine Young of PCT Operations on December 12, 2006 responding to our Status Letter of August 16, 2006. The Status Letter was filed because we had received no communication regarding this application since filing the Response to Notification of Missing Requirements under 35 U.S.C. 371 on April 8, 2005.

In Ms. Young's facsimile she indicates that we never responded to the Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US). A response to the Notification of Missing Requirements Under 35 U.S.C. 371 was filed with the United States Patent and Trademark Office on April 8, 2005. Copies of the following documents are enclosed:

1. Copy of return receipt postcard, date stamped April 11, 2005 by the United States Patent and Trademark Office, confirming that the listed papers were received by the United States Patent and Trademark Office April 11, 2005;
2. Copy of our cancelled check No. 176092 in the amount of \$130.00 dated April 6, 2005;
3. Transmittal Form and Fee Transmittal dated April 8, 2005;
4. Response dated April 8, 2005 to Notification of Missing Requirements under 35 U.S.C. 371;

5. Copy of Notification of Missing Requirements under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US);
6. Declaration;
7. Power of Attorney.

Thank you for your consideration of this matter and if you have any questions, please do not hesitate to contact me at the number listed below.

Applicants do not believe that any additional fee is required in connection with the submission of this document. However, should any fee be required, or if any overpayment has been made, the Commissioner is hereby authorized to charge any fees, or credit any overpayments made, to Deposit Account No. 02-4377. A duplicate copy of this paper is enclosed.

Respectfully submitted,



Gary M. Butter
Patent Office Registration No. 33,841

30 Rockefeller Plaza
New York, NY 10112-4498
(212) 408-2500
Attorney for Applicant(s)

Enclosures



PCT OPERATIONS
UNITED STATES PATENT AND TRADEMARK OFFICE

PCT OPERATIONS

FACSIMILE TRANSMISSION COVER SHEET

DATE: 12 Dec 2004

TO: Gary Butler

TELEPHONE: (212) 408-2546
FAX NO.: 212 359-2546

FROM: Francine Young

TELEPHONE: 703-308-9140 x215
FAX NO.: 703-305-3230 OR 703-308-4785

MESSAGE:

NUMBER OF PAGES 3 (INCLUDING THIS PAGE)



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/511,575	Wolfram DRESCHER	A36393 PCT USA
		INTERNATIONAL APPLICATION NO.
		PCT/DE03/01540
Baker Botts 30 Rockefeller Plaza New York, NY 10112-4498		LA. FILING DATE PRIORITY DATE
		05/13/2003 05/14/2002

CONFIRMATION NO. 4517
371 FORMALITIES LETTER

 OC000000015587725

Date Mailed: 03/30/2005

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 10/13/2004
- English Translation of the IA filed on 10/13/2004
- Copy of the International Search Report filed on 10/13/2004
- Information Disclosure Statements filed on 01/26/2005
- U.S. Basic National Fees filed on 10/13/2004
- Substitute Specification filed on 10/13/2004
- Priority Documents filed on 10/13/2004

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and International filing date.
- \$130 Surcharge for providing the oath or declaration later than 30 months from the priority date (37 CFR 1.492(e)) is required.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity.

- \$130 Late oath or declaration Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

FRANCINE YOUNG

Telephone: (703) 308-9140 EXT 215

PART 2 - OFFICE COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/511,575	PCT/DE03/01540	A36393 PCT USA

FORM PCT/DO/EO/905 (371 Formalities Notice)

RECEIVED
BAKER & BOTTS, L.L.P.

05 MAY -5 PM 1:21

TO

In Re: Drescher et al. 10/511,575
(Applicant) (Serial No.)
In Re: Response* April 8, 2005 A36393-PCT-USA
(Title of Paper) (Date) (066340.0212)
(File No.)

The stamp of the Patent Office Mail Room hereon acknowledges the receipt of the above-identified papers on the date indicated by such stamp.

1. *Response to Notification of Missing Requirements
2. Copy of Notification of Missing Requirements
3. Transmittal Form
4. Declaration
5. Power of Attorney
6. Fee Transmittal
7. Check for \$130.00

NY02:516688.1



BOX MSG PTS

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	10/511,575
Filing Date	10/13/2004
First Named Inventor	Wolfram Drescher
Art Unit	Not yet assigned
Examiner Name	Not yet assigned
Attorney Docket Number	A36393-PCT-USA (066340.0212)

ENCLOSURES (Check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input checked="" type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please Identify below): <input type="checkbox"/> Return Receipt Postcard
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Baker Botts LLP	Customer No.	21003
Signature			
Printed name	Paul D. Ackerman		
Date	04/08/2005	Reg. No.	39,891

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature			
Typed or printed name	Paul D. Ackerman	Date	04/08/2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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FEE TRANSMITTAL

for FY 2005

Effective 10/01/2004. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 130.00)

Complete if Known

Application Number	10/511,575
Filing Date	10/13/2004
First Named Inventor	Wolfram Drescher
Examiner Name	Not yet assigned
Art Unit	Not yet assigned
Attorney Docket No.	A36393-PCT-USA (066340.0212)

METHOD OF PAYMENT (check all that apply)

Check Credit card Money Order Other None

 Deposit Account:

Deposit Account Number 02-4377
Deposit Account Name Baker Botts L.L.P.

The Director is authorized to: (check all that apply)

Charge fee(s) indicated below Credit any overpayments
 Charge any additional fee(s) or any underpayment of fee(s)
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code	Fee Code	Fee Description	Fee Paid
1051	2051	Surcharge - late filing fee or oath	130
1052	2052	Surcharge - late provisional filing fee or cover sheet	
1053	1053	Non-English specification	
1812	1812	For filing a request for ex parte reexamination	
1804	1804	Requesting publication of SIR prior to Examiner action	
1805	1805	Requesting publication of SIR after Examiner action	
1251	2251	Extension for reply within first month	
1252	2252	Extension for reply within second month	
1253	2253	Extension for reply within third month	
1254	2254	Extension for reply within fourth month	
1255	2255	Extension for reply within fifth month	
1401	2401	Notice of Appeal	
1402	2402	Filing a brief in support of an appeal	
1403	2403	Request for oral hearing	
1451	1451	Petition to institute a public use proceeding	
1452	2452	Petition to revive - unavoidable	
1453	2453	Petition to revive - unintentional	
1501	2501	Utility issue fee (or reissue)	
1502	2502	Design issue fee	
1503	2503	Plant issue fee	
1460	1460	Petitions to the Commissioner	
1807	1807	Processing fee under 37 CFR 1.17(q)	
1806	1806	Submission of Information Disclosure Stmt	
8021	8021	Recording each patent assignment per property (times number of properties)	
1809	2809	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	2810	For each additional invention to be examined (37 CFR 1.129(b))	
1801	2801	Request for Continued Examination (RCE)	
1802	1802	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 130.00)

SUBMITTED BY (Complete if applicable)

Name (Print/Type)	Paul D. Ackerman	Registration No. (Attorney/Agent)	39,891	Telephone	212-408-2500
Signature				Date	04/08/2005

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

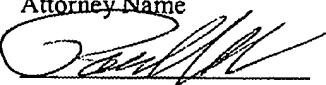
Applicant(s): Drescher et al. Customer No.: 21003
Serial No.: 10/511,575 Examiner: Not Yet Assigned
Filed: 10/13/2004 Group Art Unit: Not Yet Assigned
For: METHOD AND ARRANGEMENT FOR POWER EFFICIENT CONTROL OF PROCESSORS

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371

CERTIFICATE OF MAILING

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Box 1450, Alexandria, VA 22313-1450.

April 8, 2005
Date of Deposit

Paul D. Ackerman
Attorney Name

Signature

39,891
Patent Reg. No.
April 8, 2005
Date of Signature

Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Responsive to a Notification of Missing Requirements Under 35 U.S.C. §371 mailed on March 30, 2005, a copy of which is enclosed, enclosed herewith is a Declaration and Power of Attorney referring to the above-identified application by one or more of the following in compliance with 37 C.F.R. 1.497(a) and (b) and 37 CFR 1.492(e):

- Name of inventors and application serial number;
- Name of inventors, attorney docket number which was on the application as filed, and filing date of the application;
- Name of inventors, title of invention and filing date;

- Name of inventor, title of invention and reference to a specification which is attached to the oath or declaration at the time of execution and filed with the oath or declaration;
- Name of inventor, title of invention. As presently advised, the undersigned, a registered attorney, states that the application filed in the PTO is the application which the inventor executed by signing the oath or declaration.
- Applicant does not believe that any additional fee is required in connection with the submission of this document. However, should any fee be required, or if any overpayment has been made, the Commissioner is hereby authorized to charge any fees, or credit or any overpayments made, to Deposit Account 02-4377. Duplicate copies of this paper are enclosed.
- A check for \$130.00 for the late oath or declaration surcharge is enclosed.

Respectfully submitted,

BAKER BOTTS L.L.P.

By: 
Paul D. Ackerman
Patent Office Reg. No. 39,891

30 Rockefeller Center
New York, NY 10112-4498
(212) 408-2500

Attorneys for Applicant(s)

BAKER BOTTS L.L.P.
 ATTORNEYS AT LAW
 30 Rockefeller Plaza
 44th and 45th floors
 New York, New York 10112-0228

INQUIRIES:(212) 705-5000
 TAXPAYER I.D. #74-1195457

Invoice No.	Date	Voucher	Gross Amount	Discount	Net Amount
I5338104	04/06/2005	PDA 066340.0212 1175434	dschalk 44flr 130.00	0.00	130.00
Check No.	176092	Check Date	04/06/2005	Vendor No.	11135
Vendor Name	COMMISSIONER OF PATENTS AND			Total Amount	130.00



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/511,575	Wolfram DRESCHER	A36393 PCT USA
INTERNATIONAL APPLICATION NO.		
PCT/DE03/01540		
I.A. FILING DATE	PRIORITY DATE	
05/13/2003	05/14/2002	

Baker Botts
 30 Rockefeller Plaza
 New York, NY 10112-4498

CONFIRMATION NO. 4517
371 FORMALITIES LETTER



OC000000015587725

Date Mailed: 03/30/2005

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

BAKER BOTTS LLP

05 APR -4 PM + 36

- Copy of the International Application filed on 10/13/2004
- English Translation of the IA filed on 10/13/2004
- Copy of the International Search Report filed on 10/13/2004
- Information Disclosure Statements filed on 01/26/2005
- U.S. Basic National Fees filed on 10/13/2004
- Substitute Specification filed on 10/13/2004
- Priority Documents filed on 10/13/2004

PDA

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$130 Surcharge for providing the oath or declaration later than 30 months from the priority date (37 CFR 1.492(e)) is required.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

M Docketed

- \$130 Late oath or declaration Surcharge.

For 5/30/2005 f
10/30/05 1872

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

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*A copy of this notice **MUST** be returned with the response.*

FRANCINE YOUNG

Telephone: (703) 308-9140 EXT 215

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/511,575	PCT/DE03/01540	A36393 PCT USA

FORM PCT/DO/EO/905 (371 Formalities Notice)

B

30 Rockefeller Plaza
New York, New York
10112-4498

MAIL STOP PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

